

Audit & Corporate Governance Committee
Follow Up on Resolutions & Recommendations made between 3rd July 2008 and 29th June 2010

Item	Minute No	Meeting Date	SUBJECT	RESOLUTION/RECOMMENDATION	RESPONSIBLE OFFICER	CURRENT POSITION	PLANNED COMPLETION DATE	STATUS
OPEN AND ONGOING ITEMS								
67	105	03/07/08	Review of Code of Corporate Governance	The Audit & Corporate Governance Committee receives a report formally reviewing the Code of Corporate Governance at the meeting scheduled to be held on 20 March 2009.	Charlie Adan (Alan McLaughlin)	The new Code of Corporate Governance forms part of the preamble to the Constitution	31/05/2010	Subject to Committee agreement - Closed
89	5	19/06/09	Review of Procurement	The introduction of procurement and contract performance reporting systems , recommendation R2 paragraph 14 of the Audit Commission's report	Dean Taylor (David Powell)	The development of comprehensive systems for the reporting and monitoring of procurement is a high priority task for the new Interim Head of Commercial Services	September 2010	Open
90	5	19/06/09	Review of Procurement	The Audit and Corporate Governance Committee work plan be amended to make specific provision for procurement investigation and reports.	David Powell	The Committees revised terms of reference which came in to effect on 1 st January 2010 states that ' <i>The Committee shall receive assurance from the Chief Internal</i>	12 th March 2010	Open

Audit & Corporate Governance Committee
Follow Up on Resolutions & Recommendations made between 3rd July 2008 and 29th June 2010

Item	Minute No	Meeting Date	SUBJECT	RESOLUTION/RECOMMENDATION	RESPONSIBLE OFFICER	CURRENT POSITION	PLANNED COMPLETION DATE	STATUS
						<i>Auditor that officers are complying with the Council's procurement policy and processes in all respects'. The Director of Resources and Chief Internal Auditor will consult with the Chairman and Deputy Chairman of the Audit and Governance Committee to agree the Committees requirement</i>		
91	5	19/06/09	Review of Procurement	The Audit and Corporate Governance Committee work plan to include the oversight and completion of training needs and analysis of in-house procurement expertise throughout the Council Directorates.	Dean Taylor (David Powell)	The effectiveness and capacity of joint procurement is a another important issue for the new Interim Head of Commercial Services. A progress report on competence and capacity will be submitted to the committee by end of December 2010.	September 2010	Open
92	5	19/06/09	Review of Procurement	A report be submitted to the Committee on the process the Council follows for risk	Dean Taylor (Andrew	At its meeting held on 29 th June The Audit and Governance	29 th January 2010	Subject to Committee agreement - Closed

Audit & Corporate Governance Committee
Follow Up on Resolutions & Recommendations made between 3rd July 2008 and 29th June 2010

Item	Minute No	Meeting Date	SUBJECT	RESOLUTION/RECOMMENDATION	RESPONSIBLE OFFICER	CURRENT POSITION	PLANNED COMPLETION DATE	STATUS
				management and the way risk management is used and updated as a positive aid to management.	Rewell)	Committee received a report noting progress on compliance/non compliance with the Councils risk management process. Linked 115 below		
96	12	19/06/09	Audit Services Assurance Report	Anti - Fraud Training to be added to the Training for members schedule	Tony Ford	Training was completed on 23 rd April 2010	31/03/10	Subject to Committee agreement - Closed
105	28	20/11/09	Interim Audit Services Assurance Report 2009/10 No. 1	The Committee requires all action on the remaining 13 items in Appendices 2 and 3 to the report to be completed to the Committee's satisfaction by the time of the next meeting or the respective Directors and executives attend the meeting in person to explain why each issue remains open and when it will be completed.	Members of the Audit and Governance Committee			Open
108	35	29/01/10	Annual Audit and Inspection Letter	An update report on the progress of the Audit Commission's recommendations shown on page 22 on the agenda be submitted to the next meeting of the Committee	David Powell	Letter will be circulated to committee members giving an update on the recommendations		Open
111	41	29/01/10	Risk Management	That a report be submitted to the next meeting informing the committee of evidence where the Corporate Risk Register process are not being followed, detailing the	Andrew Rewell	At its meeting held on 29 th June The Audit and Governance Committee received a report noting progress		Subject to Committee agreement – Closed Item 115

Audit & Corporate Governance Committee
Follow Up on Resolutions & Recommendations made between 3rd July 2008 and 29th June 2010

Item	Minute No	Meeting Date	SUBJECT	RESOLUTION/RECOMMENDATION	RESPONSIBLE OFFICER	CURRENT POSITION	PLANNED COMPLETION DATE	STATUS
				risks in all areas of the Council and how they are mitigated.		on compliance/non compliance with the Councils risk management process. Linked 115 below		
113	47	12/03/10	Audit Strategy and Audit Charter 2010	The committee approves, subject to any comments from the joint management team and to the director of resources informing members by email of those comments, the 2010/11 audit strategy and audit charter	Tony Ford	A verbal update will be made to the committee		Open
114	49	12/03/10	Interim Audit Services Assurance Report 2009/10 No.3	The chief internal auditor be invited to undertake a broad but shallow investigation into the extent to which procurement rules and procedures are being adhered to across all services but with particular attention to where procurement authority has been delegated outside the Resources Directorate	Tony Ford	Now included as an audit in the 2010/11 Audit Plan.		Subject to Committee agreement – Closed
115	5	29/06/10	Risk Management Review	The Committee receives a report in September 2010 outlining risk areas and mitigation to include dates for completion	Dean Taylor	It is planned for a report to be presented to Cabinet in October 2010, advising on risk and assurance issues	November 2010	Open